

CURRICULUM VITAE

Name: Dorothy Lucks
Executive Director: SDF Global PTY Ltd

Date of Birth: 18 October 1960

Nationality Australian and British Dual Nationality

Address 92 Aclare Road Barragup, Western Australia, 6209.

Contacts: Tel: ++ 61(08) 9582 9228
Mob: ++ 61 413 766 299
Email: sdfglobal@sustain.net.au
Web: www.sustain.net.au

Education: PhD. Dr of Philosophy
(Multi-stakeholder decision-making in Sustainable Development Processes) University of Western Australia, 2010;
MBA (Master of Business Administration) University of Strathclyde, 1996;
Post-graduate Entrepreneurship (Glasgow Business School 1985);
BSc, (Bachelor of Science–Biological Science) University of Edinburgh, 1980;
Certificate IV in Training and Assessment - Livingston Institute of Vocational Training, 2015.

Languages:	Spoken	Reading	Writing
English	Excellent	Excellent	Excellent
Sinhala	Fair	Basic	Basic
Bahasa Indonesia	Basic	Basic	Basic

Membership of Professional bodies:

Australasian Representative to the International Organisation for Cooperation in Evaluation (IOCE); Secretary, Executive Committee; (2013- Mar 2018)
Evalpartners International Management Group Executive Committee;
EVALSDGs Network - Co-Chair
Australasian Evaluation Society
Canadian Evaluation Society
International Association of Public Participation;
Peel Region Chamber of Commerce.

Key Qualifications: 25+ years experience in sustainable development;
Numerous professional publications and conference papers;
Particular contribution to evaluation and SDGs.

Country Experience

Armenia, Australia, Austria, Bangladesh, Bhutan, Belize, China, Ghana, Hong Kong, Indonesia, Italy, Japan, Kenya, Malaysia, Marshall Islands, Nairobi, Nepal, Pakistan, Papua New Guinea, Philippines, Solomon Islands, Samoa, Sri Lanka, Sudan, Thailand, Turkey, United Kingdom, United States of America, Viet Nam, Zambia.

Employment Record:

2000 to date: Executive Director - SDF Global Pty Ltd and Independent International Advisor

SDF Global Pty Ltd is involved in a range of sustainable development initiatives internationally and with the Australian State Government Departments, various Local Governments, NGOs and the private sector. Work has included programme design and innovation, feasibility assessment, strategic plans, land and resources use planning, organisational change management, project and program design, management and evaluation, agriculture and small enterprise development, natural resource management, poverty reduction programmes, socio-economic research, facility plans, training needs assessments, design and delivery.

As Executive Director, Dorothy has responsibility for leading teams of specialists in design, research, project implementation, management and for overall outputs and outcomes of each contract. Specific industry contracts have covered the tourism, agriculture, agribusiness, homelessness and affordable housing, mining and retail sectors. Community-based projects have included a focus on youth, Indigenous communities, the ageing population and vocational training. Environmental projects cover water quality and catchment management, eco-tourism and environmental planning. All projects involve assessment of and improvements to strategic management and good governance for clients.

Summary of International Contracts

- | | |
|---|---|
| March 2016 –
Dec 2018
(intermittent) | International Organisation Development Ltd (IOD PARC). Position: Team Leader. Organisation for Economic Co-Operation and Development. Implementation of the Multilateral Organisation Performance Assessment Network (MOPAN): Global assessment of multilateral agencies lead HQ-level studies – assessment of Global Partnership for Education. |
| Sept 2017 –
Dec 2019
(intermittent) | Papua New Guinea Transport Sector Support Program. Position: Team Leader for Research Study of Capacity Development Transport for TSSP. Snowy Mountain Electricity Company (SMEC) |
| November
2017-March
2018 | UN Industrial Development Organization (UNIDO) – Position: Team Leader.
India Country Programme evaluation and five related project evaluations. |
| July 2017-
Mar 2018
(intermittent) | UN Institute for Training and Research (UNITAR) – Position: Trainer.
Complexity and systems thinking for the SDGs – for senior government officials, Parliamentarians and civil society across Asia. |
| Mar 2011 –
June 2018
(Intermittent) | Philippines. World Bank/Food and Agriculture Organisation – Position: Change Management/Institutional Development Specialist.
Participatory Irrigation Development Program. Change Management/Institutional Development Specialist for rationalisation plan of national agency for sustainable irrigation services including both national and community based irrigation. Six monthly supervision and technical assistance missions. |
| Sept 2015 –
Sept 2018
(intermittent) | FAO/IFAD/IOCE/EVALSDGs. Position: Presenter/Trainer.
Engaged in speaking at seminars and conferences related to the Sustainable Development goals (SDGs) and the follow-up and review processes for the SDGs. Appointed as Co-Chair of EVALSDGs. |
| Sept – Dec
2017 | Papua New Guinea Transport Sector Support Program. Position: Team Leader |

Evaluation Study of Community Work Arrangements in the Autonomous Region of Bougainville (AROB) for TSSP/SMEC International Pty Ltd.
Snowy Mountain Electricity Company (SMEC)

- Mar – Dec 2017** **UNDP & UNICEF - Case Studies of National Evaluation Capacity in five Asia Pacific Countries. Position: Team Leader, Senior Evaluator.**
Review of National Evaluation Systems and Capacities for Evaluating Progress Towards the Sustainable Development Goals. – Country Case Studies.
- Sept – Nov 2017** **Solomon Islands – World Bank Community and Urban Sector Employment (CAUSE) Project. Position: Team Leader, Senior Evaluator.**
Produced Environmental and Social Management Guidelines for new project (follow on to Solomon Islands Rapid Employment Project).
- Aug - Oct 2017** **Republic of Ghana - Independent Office of Evaluation of IFAD (IOE). Position: Team Leader, Senior Evaluator.**
Project Performance Evaluation of the Root and Tuber Improvement and Marketing Programme, which adopted a value chain development approach.
- May & June 2017** **Sri Lanka – Information and Communication Technology Agency of Sri Lanka (ICTA). Position: Trainer/Presenter.**
Conduct series of workshops for building monitoring and evaluation capacity of selected staff of the Sri Lanka Department of Census and Statistics (DCS) and Telecommunications Regulatory Commission (TRC) involved in ICT Data Collection and Analysis.
- Dec 2016-Feb 2017** **UN Industrial Development Organization (UNIDO) – Position: Team Leader.**
Thematic evaluation study of UNIDO's partnerships with donors (voluntary contributions).
- July 2015- Dec 2017 (Intermittent)** **African Development Bank (AfDB) – Evaluation of Bank Support to Agriculture Value Chain Development. Position: Team Leader, Senior Evaluator.**
Design of Theory of Change and Case Study Methodology for Evaluation Study of Value Chains in Africa in preparation for implementation of the Feed Africa Strategy. Completion of literature review, policy and strategy review and case study synthesis for the evaluation, including a separate cluster report focusing on lessons learned in agricultural value chain development.
- April - June 2016** **Kenya, Africa – Nairobi. International Organisation Development Ltd (IOD PARC). Position: Senior Evaluator/Team Leader.**
Organisation for Economic Co-Operation and Development. Implementation of the Multilateral Organisation Performance Assessment Network (MOPAN): Global assessment of multilateral agencies lead HQ-level studies – assessment of UN-Habitat.
- Dec 2015 -Jun 2016** **Philippines. Catholic Relief Services. Senior Evaluator/Team Leader.**
Sustainable impact study of five Agro-enterprise development projects in the Philippines.
- Jan 2015-Mar 2016 (intermittent)** **Solomon Islands. World Bank- Honiara City Council- Ministry of Infrastructure Development. Position:Team Leader.**
Review of Social Accountability and Governance Risks; Scoping mission for

design of Rapid Employment Project (REP) II.

- Feb - November 2015** **Philippines. World Bank/Food and Agriculture Organisation. Position: Technical Agricultural specialist/Value Chain Development specialist** for the Inclusive Partnerships for Agricultural Competitiveness (IPAC) project.
- June-August 2015** **Turkey. IFAD International Fund for Agriculture Development. Position: Enterprise and Value Chain Specialist.** Country Program Evaluation of IFAD funded operations in Turkey, Enterprise and Value Chains Specialist.
- October – Dec 2014** **Bangladesh. United Nations (IFAD) Country Program Evaluation. Position: Team Leader/ Senior Evaluator.** Enterprise development, access to markets and value chains development specialist evaluating ten projects over the last ten years.
- Mar 2014 - Oct 2014** **Pacific Region. Asian Development Bank (ADB) Review of Pacific Islands Development Coordination Approach. Position: Team Leader.** Team leader for regional assessment of ADB performance across nine countries in the Pacific region through development coordination offices.
- Feb 2014 - July 2014** **Papua New Guinea. World Bank- Port Moresby. Position: Team Leader** for mid term review of the Urban Youth Employment Project (UYEP). Innovative project to address youth unemployment and disadvantage in Port Moresby.
- Dec 2012- Mar 2014** **Pacific region. Asian Development Bank. Thematic Evaluation of Technical Assistance (TA) Investments. Position: Team Leader.** Assessment of ADB TA performance in response to 2008 Technical Assistance Policy Amendments. Field review of TA in Tonga, Fiji, China, Sri Lanka, Bhutan and the Philippines.
- Jun – Oct 2013** **Zambia. International Fund for Agriculture Development (IFAD). Position: Senior Evaluator/Specialist.** National Country Programme Evaluation of IFAD funded operations in Zambia. Technical focus on sustainability interventions.
- Jun – Dec 2013** **Bhutan. International Fund for Agriculture Development (IFAD). Position: Team Leader/Evaluation Specialist.** National programme evaluation of IFAD funded operations in Bhutan.
- Nov 2011 - Mar 2012** **Special Study of Food Security in Asia. Position: Team Leader. Asian Development Bank (ADB).** Review of the global food price crises and their impact on the poor in Asia. Assessment of ADB current strategic alignment with food security initiatives.
- Aug - Dec 2011** **Philippines. Northern Mindanao Community Initiatives and Resource Management. Position: Team Leader. International Fund for Agriculture Development (IFAD).** IFAD supported project in community-based watershed development and with a focus on Indigenous People's initiatives for land management, eco-tourism and education.
- Mar – June 2011** **Global Synthesis of Supervision. Position: Team Leader. International Fund for Agriculture Development (IFAD).** Evaluation of programme and project supervision methodologies and practice since launch of the IFAD Supervision Policy in 2005.

- 1994 – Apr 2011** **Philippines. Supervision of the World Bank Philippines Agrarian Reform Communities Development Projects (ARCDP and ARCDP II and additional financing) Position: Technical Assistance and Training Specialist.**
On-going six-monthly missions from 1994 to present. Involved technical review and guidance on community development, agriculture and enterprise development, rural credit, monitoring and evaluation, and project management.
- Jan - Apr 2011** **Ghana. Country Programme Evaluation. Position: Senior Evaluator/Team Leader.**
Evaluation of rural enterprise and local development training and technical support initiatives for seven projects nationwide, plus additional grants and policy initiatives in preparation for new Ghana Country Programme for **International Fund for Agriculture Development (IFAD)**..
- Feb 2010** **China. Interim Evaluation. Position: Team Leader.**
Interim evaluation of IFAD and People’s Republic of China Qinling Mountain Area Poverty-Alleviation Project. **International Fund for Agriculture Development (IFAD).**
- Jan-Nov 2009** **Corporate Evaluation: Innovation. Position: Team Leader.**
Evaluation of the **International Fund for Agriculture Development (IFAD)**. Policy and Guidelines for Innovation and its capacity to promote replicable innovations. Included assessment of IFAD Corporate strategies, 21 Country Programme Strategies and 70 project designs across 46 countries. Field visit to innovations in Vietnam.
- 2008** **China. Project Evaluation. Position: Team Leader.**
Evaluation of 7 year International Fund for Agriculture Development (IFAD) project in southern China covering water management, rural credit, provincial infrastructure, institutional and governance support.
- 2006 – 2007** **Philippines. World Bank. Position: Team leader for Project Implementation Completion Evaluation. Position: Team Leader**
Research study of the **World Bank** Community Based Resource Management covering institutional development, infrastructure and livelihood initiatives.
- April – July 2007** **Belize. Position: Team Leader for evaluation of Community Agriculture and Rural Development Project of the Caribbean Development Bank (CDB) and International Fund for Agriculture Development (IFAD),**
Including public facilities, access infrastructure, social, sustainable agriculture and microfinance development, particularly targeting marginalised Mayan ethnic groups.
- 2006 - 2007** **Pakistan, China, Zambia, Philippines** (also involving comparative desk reviews for Egypt, India, Sri Lanka and Bangladesh). **Position: Corporate evaluation team member** for a **Field Presence Pilot Project of IFAD:** based on a three year pilot programme, examining the feasibility of establishing national offices as a global policy and strategy for sustainability.
- 2003 - 2007** **Philippines. Position: Technical Assistance Specialist** for the two **Japanese Grant Programs for landless and Indigenous Peoples,** supervised by the **World Bank**. The projects piloted new approaches for sustainable livelihoods, eco-tourism, sustainable agriculture and social inclusion in poverty reduction processes.
- 2006** **Philippines Cordilleras Autonomous Region. Position: Team leader for IFAD/ ADB) Highland Agriculture and Resource Management (CHARM)**

interim assessment which progressed watershed management with sustainable agriculture sector development for ethnic minorities.

- 2005 - 2006** **South East Asia. Position: Team Leader/Evaluator. Cross Country evaluation** of the poverty status and livelihood investments in strategic regional and rural development for **International Fund for Agriculture Development (IFAD)**. The research covered 8 countries, 15 major investment projects and 8 regional research projects.
- 2005** **Philippines. Agrarian Reform and Social Development Specialist** with **Asian Development Bank and Southeast Asian Regional Centre for Graduate Study and Research in Agriculture** for the design of the Agrarian Reform Communities Project II (ARCP II) to reduce poverty among 15 poorest provinces. Responsible for institutional arrangement, safeguards processes for resettlement, inclusion and gender aspects.
- 2003 - 2006** **Philippines. Staff Consultant** with **World Bank with Autonomous Region of Muslim Mindanao Social Fund Social Infrastructure and Community Development Fund** for World Bank Re-Construction and Rehabilitation in post-conflict Mindanao. Troubleshooting on institutional arrangements, M&E and Project Management.
- 2005** **Indonesia. Australian Aid Indonesia-Australia. Position: Change Management Specialist.** One of team of three technical specialists to design a module for the Indonesia-Australia Agreement to incorporate good governance, change management and gender awareness into all training programs.
- 2004 - 2005** **Zambia, Sudan And Armenia.** Corporate review of **International Fund for Agriculture Development (IFAD)** project supervision modalities with focus on Africa and Mid & Eastern Europe. The Review covered 30 projects in 20 countries to assess how supervision modalities contribute to effectiveness, efficiency and relevance of the projects. Included evaluation field work in Zambia, Sudan and Armenia.
- 2003 – 2004** **Indonesia. Position: Institutional/Decentralisation Specialist** for **International Fund for Agriculture Development (IFAD)**. IFAD Country Strategy Programme Evaluation including design and facilitation of project and participant self-assessment processes as a partnership input to the evaluation.
- 2002 - 2003** **Nepal. International Fund for Agriculture Development (IFAD). Position: Micro-enterprise development consultant** for Preparation Mission for North and North Western Nepal. Integrated project with strong emphasis on social justice and strategies for community inclusion. Also designed to alleviate the rise of insurgence in the area.
- July – Aug 2002** **Philippines. Micro-enterprise specialist** for IFAD/ADB-funded Philippines Micro-enterprise Finance Project evaluation mission. This evaluation acted as a pilot (one of ten globally) for IFAD's new participatory evaluation process.
- 1997 - 1998** **Sri Lanka. Sri Lanka Government/World Bank/German Government.** Study on under-employment of marginal farmers in the non-plantation agricultural sector. Acting as international advisor for study design, implementation and analysis.
- 1997** **Philippines. Philippines Government/ International Fund for Agricultural**

- Development, Western & Northern Mindanao Economic Development Projects.** Two three-year projects. Training specialist in inception and formulation missions working with rebel returnee groups and resettlement of communities affected by the conflict in Western Mindanao, and indigenous communities in Northern Mindanao.
- 1996** **Indonesia. Indonesian Government/International Fund for Agricultural Development, Indonesia.** Small enterprise, micro-credit and employment development program. Acted as small enterprise development consultant for national expansion program.
- 1996** **Philippines. Philippines Government/Asian Development Bank/International Fund for Agricultural Development, Microfinance Project, Philippines.** A joint project focusing on Grameen Bank Replication through the Peoples Finance and Credit Corporation. Acted as small enterprise and microcredit specialist in identification and appraisal design missions.
- 1996** **Sri Lanka. Sri Lanka Government/CARE International/United Nations, Sri Lanka.** Micro-enterprise program for refugees. Acted as Team Leader and Technical Consultant for several projects in program design, evaluation and policy input in resettlement and re-establishment of local communities in conflict areas throughout both the Sinhalese and Tamil precincts.
- 1995** **Sri Lanka. Sri Lanka Government/Asian Development Bank.** Team leader for economic rehabilitation in areas affected by civil unrest.
- 1992 - 1995** **USAID, CARE International, UNHCR and IFAD.** Short term work on various participatory community development, governance, small-medium business, rehabilitation, agricultural development and micro-credit programs in the Asia Region. Also small-scale research and evaluations for these agencies, linked to emergency response, private sector and socio-economic development.

REFEREES

Ziad Moussa

Senior Research Associate at the Environment and Sustainable Development Unit of the American University of Beirut ziadmoussa@yahoo.com

Tere Quinones

World Bank Task Team Leader (Philippines)

World Bank Centre

23F The Taipan Place,

F. Ortigas Jr. Road,

Ortigas Center,

Pasig City 1605. Manilla

t.quinones@worldbank.org

PH: 0011 6 39 193475169

Paddi Creevey, OAM

Chair of Governing Council of TAFE,
Regional Development Australia, Peel Board Member
Chair Peel Community Development Group
Chair, WestAus Crisis Care
International Coast and Climate Change Council
Paddi88@bigpond.net.au

Dorothy Lucks contact information:

Email : sdfglobal@sustain.net.au

Phone : +61 8 9582 9228

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience.

Dr Dorothy Lucks

Date: 24 April 2018

A handwritten signature in black ink, appearing to read 'D.Lucks', is written above a horizontal line.