

CURRICULUM VITAE

Name: Dorothy Lucks
Executive Director: SDF Global PTY Ltd

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Education: PhD. Doctor of Philosophy
(Multi-stakeholder decision-making in Sustainable Development Processes) University of Western Australia, 2010;
MBA (Master of Business Administration) University of Strathclyde, 1996;
Post-graduate Entrepreneurship (Glasgow Business School 1985);
BSc, (Bachelor of Science–Biological Science) University of Edinburgh, 1980;
Certificate IV in Training and Assessment - Livingston Institute of Vocational Training, 2015.
Credentialled Evaluator (International), Canadian Evaluation Society, 2018.

Languages:	Spoken	Reading	Writing
English	Excellent	Excellent	Excellent
Sinhala	Fair	Basic	Basic
Bahasa Indonesia	Basic	Basic	Basic

Membership of Professional bodies:

Australasian Representative to the International Organisation for Cooperation in Evaluation (IOCE); Secretary, Executive Committee; (2013- Mar 2018)
Evalpartners International Management Group member;
EVALSDGs Network - Co-Chair (2015 - July 2020)
Australasian Evaluation Society
Canadian Evaluation Society
International Association of Public Participation;
Peel Region Chamber of Commerce.

Key 25+ years experience in sustainable development;
Qualifications: Numerous professional publications and conference papers;
Particular contribution to evaluation and SDGs.

Country Experience

Armenia, Australia, Austria, Bangladesh, Bhutan, Belize, China, Côte d'Ivoire, Fiji, Ghana, Hong Kong, Indonesia, India, Italy, Japan, Kenya, Malaysia, Marshall Islands, Nairobi, Nepal, Pakistan, Papua New Guinea, Philippines, Solomon Islands, Samoa, Sri Lanka, Sudan, Thailand, Turkey, United Kingdom, United States of America, Vietnam, Zambia.

Employment Record:

2000 to date: Executive Director - SDF Global Pty Ltd and Independent International Advisor

SDF Global Pty Ltd is involved in a range of sustainable development initiatives internationally and with the Australian State Government Departments, various Local Governments, NGOs and the private sector. Work has included programme design and innovation, feasibility assessment, strategic plans, land and resources use planning, organisational change management, governance and risk assessments, project and program design, management and evaluation, agriculture and small enterprise development, natural resource management, poverty reduction programmes, socio-economic research, environmental and social assessments, facility plans, training needs assessments, design and delivery.

As Executive Director, Dorothy has responsibility for leading teams of specialists in design, research, project implementation, management and for overall outputs and outcomes of each contract. Specific industry contracts have covered the tourism, agriculture, agribusiness, homelessness and affordable housing, mining and retail sectors. Community-based projects have included a focus on youth, Indigenous communities, the ageing population and vocational training. Environmental projects cover water quality and catchment management, eco-tourism and environmental planning. All projects involve assessment of and improvements to strategic management and good governance for clients. Dorothy is also an credentialled evaluator as well as an experienced facilitator and trainer in sustainable development and evaluation.

Summary of International Contracts

March – June 2021	India. United Nations Industrial Development Organization. (UNIDO) International Evaluation Consultant, Team Leader. Independent terminal Evaluation of project: Promoting business models for increasing penetration and scaling-up of solar energy in India.
October 2020- June 2021	Asia. Asian Development Bank (ADB). Position: Climate Change Regional and Evaluation Specialist. Thematic Evaluation to assess ADB Support for Action on Climate Change, 2011–2019.
October 2020 – April 2021	Global. World Food Programme. Position: Team Leader. Synthesis of evidence and lessons on country capacity strengthening from decentralized evaluations (2016-2020).
September 2020 – April 2021	Solomon Islands. Honiara City Council and The World Bank. Position: ESGM Specialist. Update Environmental Social Management Guidelines and Social Assessment for the CAUSE project.
September 2020 – April 2021	Sri Lanka. United Nations Industrial Development Organization. (UNIDO) International Evaluation Consultant, Team Leader. Independent Terminal Evaluation of Bamboo Processing for Sri Lanka.
September 2020 – April 2021	South Sudan. United Nations Industrial Development Organization. (UNIDO) International Evaluation Consultant, Team Leader. Independent Terminal Evaluation of Upgrading the Fishery Sector in South Sudan.
September 2020 September 2021	Asia. Asian Development Bank (ADB). Evaluator, Validation and Knowledge Generation. Conduct validation reviews of selected TCRVs, including providing lessons learned from the TA operation.

September 2020 – May 2021	Philippines. International Fund for Agricultural Development (IFAD). Capture the lessons learned in the Fostering Agricultural Revitalization in Myanmar (FARM) project to prepare for the Project Completion Report (PCR)
September 2020 – July 2021	Philippines. International Fund for Agricultural Development (IFAD). Impact Assessment/Monitoring and Evaluation/Rural Institution Specialist. Provide technical assistance for the final evaluation of the first Cordillera Highland Agricultural Resource Management Project (CHARM).
August 2020 – August 2021	Asia. Asian Development Bank (ADB). Validation and Knowledge Generation specialist. Framework Agreement for Technical Assistance Completion Report Validation (TCRV)
August 2020 – April 2021	China. World Food Program. Team Leader for the China Country Strategic Plan Evaluation (CSP) (2017–2021).
June 2020 - June 2021	Global. United Nations Development Programme. External Reviewer, UNDP – IEO Country Programme Evaluations
June – Sep 2020	Global. United Nations Institute for Training and Research (UNITAR). Evaluation Consultant – Mid-term Evaluation of the Implementation of the 2018-2021 Strategic Framework.
May – July 2020	Pacific Region. World Bank. Consultant – Researcher/analyst - Rapid Social Analysis of Likely COVID-19 Impacts on Vulnerable Groups in the Pacific Region.
Mar – Sept 2020	Global. UN-Habitat. Consultant – Lead Evaluator. Review of Strategic Plan across seven thematic areas and cross-cutting issues (gender, youth, climate change and human rights).
Mar – Sept 2020	Solomon Islands. Honiara City Council and The World Bank. Position: Consultant – Community Access and Urban Services Enhancement (CAUSE) project mid-term review.
Jan 2020 – Mar 2021	Indonesia. The World Bank. Position: ICR Consultant - KIAT Guru Phase 2 - Improving Teacher Performance and Accountability Implementation Completion Report.
March 2016 – Dec 2019 (intermittent)	USA, France, Italy, Manila. International Organisation Development Ltd (IOD PARC). Position: Team Leader. Organisation for Economic Co-Operation and Development. Implementation of the Multilateral Organisation Performance Assessment Network (MOPAN): <ul style="list-style-type: none">• Global assessment of multilateral agencies HQ-level studies – assessment of UN Habitat in 2016/7;• Global Partnership for Education (GPE) in 2017/2018;• UN Conference on Trade and Development (UNCTAD) in 2018/2019;• Case Study of SDG implementation in Multi-lateral Organisations in 2018/2019.
Sept – Dec 2019	Papua New Guinea. The World Bank: Team Leader - Urban Youth Employment Project Phase II (UYEPII) Implementation Completion Report.

- Aug – Dec 2019** **Global. United Nations Children’s Fund (UNICEF) Position: Evaluation Consultant** – National Evaluation Capacity Development Global Workshop and Technical Note Development.
- July – August 2019** **Philippines. Asian Development Bank (ADB). Position: Institutional Development and Evaluation Expert.** 2020 Annual Evaluation Review Theme Chapter.
- June – Oct 2019** **India. United Nations Industrial Development Organisations (UNIDO). Position: International Senior Evaluation Expert, Team Leader.** Independent Terminal Evaluation of Environmentally Sound Management of Medical Wastes in India.
- May – Sept 2019** **Global. IFAD International Fund for Agriculture Development, Position: Team Leader.** Stocktake on country programme evaluation approaches and methodologies
- April – Sept 2019** **Philippines. Asian Development Bank (ADB). Position: Evaluation Expert.** Establishing Technical Assistance Completion (TCR) Validation Process. Preparation of training packet and conducting training workshops for staff of TA administering departments and offices to familiarize them with the new TCRV System and Guidelines.
- Dec 2018 – June 2019** **Solomon Islands. The World Bank. Position: Team Member.** Solomon Islands Rapid Employment Project (P114042) Implementation Completion Report.
- Sept 2018 – Feb 2019** **Fiji. Asian Development Bank (ADB). Position: International Country and Strategy Expert.** (SC111306-FIJ)Country Partnership Strategy Final Review Validation 2014-2018.
- Sept – Nov 2018** **Papua New Guinea. Government of Papua New Guinea: Team Leader** - Urban Youth Employment Project Phase II (UYEPII) revision of the Environmental & Social Management Framework
- June 2018 - April 2019 (intermittent)** **International Institute for Environment and Development (IIED): Position: Senior Evaluator.** Development of Monitoring, evaluation and learning guidelines for SDG 14, including facilitation of multi-stakeholder co-creation process in Senegal.
- June 2018 - Dec 2018 (intermittent)** **Philippines. Asian Development Bank (ADB) Position: Senior Evaluator.** Design of Corporate Technical Assistance Completion Report Validation Guidelines to improve quality of technical assistance evaluation processes.
- Sept 2017 – Dec 2019 (intermittent)** **Papua New Guinea. Government of Australia. Position: Senior Evaluator.** Evaluation of Australia’s Engagement with Multilateral Organisations, plus Papua New Guinea Transport Sector Support Program for Research Study of Capacity Development in the Transport sector.
- November 2017-Dec 2018 (intermittent)** **India. UN Industrial Development Organization (UNIDO) – Position: Evaluation Team Leader.** Synthesis of evaluations UNIDO-wide 2015-2018, plus India Country Programme evaluation and five related project evaluations.

- July 2017- Dec 2018 (intermittent)** **UN Institute for Training and Research (UNITAR) – Position: Trainer.** Complexity and systems thinking for the SDGs – for senior government officials, Parliamentarians and civil society across Asia.
- Mar 2011 – Dec 2018 (Intermittent)** **Philippines. World Bank/Food and Agriculture Organisation – Position: World Bank/FAO Change Management/Institutional Development Specialist.** Participatory Irrigation Development Program. Change Management/Institutional Development Specialist for rationalisation plan of national agency for sustainable irrigation services including both national and community based irrigation. Six monthly supervision and technical assistance missions.
- Sept 2015 – Sept 2018 (intermittent)** **FAO/IFAD/IOCE/EVALSDGs. Position: Presenter/Trainer.** Engaged in speaking at seminars and conferences related to the Sustainable Development goals (SDGs) and the follow-up and review processes for the SDGs through International Organisation for Cooperation in Evaluation and EvalPartners. Appointed as Co-Chair of EVALSDGs.
- Sept – Dec 2017** **Papua New Guinea - Transport Sector Support Program. Position: Team Leader**
Evaluation Study of Community Work Arrangements in the Autonomous Region of Bougainville (AROB) for TSSP/SMEC International Pty Ltd.
- Mar – Dec 2017** **UNDP & UNICEF - Case Studies of National Evaluation Capacity in five Asia Pacific Countries. Position: Team Leader, Senior Evaluator.**
Review of National Evaluation Systems and Capacities for Evaluating Progress Towards the Sustainable Development Goals. – Country Case Studies.
- Sept – Nov 2017** **Solomon Islands – World Bank Community and Urban Sector Employment (CAUSE) Project. Position: Team Leader** Produced pre-design Environmental and Social Management Guidelines for new project (follow on to Solomon Islands Rapid Employment Project).
- Aug - Oct 2017** **Republic of Ghana - Independent Office of Evaluation of IFAD (IOE). Position: Team Leader, Senior Evaluator.**
Project Performance Evaluation of the Root and Tuber Improvement and Marketing Programme, which adopted a value chain development approach.
- May - June 2017** **Sri Lanka – Information and Communication Technology Agency of Sri Lanka (ICTA). Position: Trainer/Presenter.**
Conduct series of training workshops for building monitoring and evaluation capacity of selected staff of the Sri Lanka Department of Census and Statistics (DCS) and Telecommunications Regulatory Commission (TRC) involved in ICT Data Collection and Analysis.
- Dec 2016 - Feb 2017** **Japan, USA & Italy. UN Industrial Development Organization (UNIDO) – Position: Team Leader.**
Thematic evaluation study of UNIDO’s partnerships with donors (voluntary contributions), including partner liaison visits to Japan, USA and Italy.
- July 2015 - Dec 2017** **African Development Bank (AfDB) – Evaluation of Bank Support**

- (Intermittent)** **to Agriculture Value Chain Development. Position: Team Leader, Senior Evaluator.**
Design of Theory of Change and Case Study Methodology for Evaluation Study of Value Chains in Africa. Completion of literature review, policy and strategy review and case study synthesis for the evaluation, including a separate cluster report focusing on lessons learned in agricultural value chain development.
- April - June 2016** **Kenya, Africa – Nairobi. International Organisation Development Ltd (IOD PARC). Position: Senior Evaluator/Team Leader.**
Organisation for Economic Co-Operation and Development. Implementation of the Multilateral Organisation Performance Assessment Network (MOPAN): Global assessment of multilateral agencies– assessment of UN-Habitat.
- Dec 2015 - June 2016** **Philippines. Catholic Relief Services. Senior Evaluator/Team Leader.**
Sustainable impact study of five Agro-enterprise development projects in the Philippines.
- Jan 2015 - Mar 2016 (intermittent)** **Solomon Islands. World Bank- Honiara City Council- Ministry of Infrastructure Development. Position: Team Leader.**
Review of Social Accountability and Governance Risks; Scoping mission for design of Rapid Employment Project (REP) II.
- Feb - November 2015** **Philippines. World Bank/Food and Agriculture Organisation. Position: Technical Agricultural specialist/Value Chain Development specialist** for the Inclusive Partnerships for Agricultural Competitiveness (IPAC) project.
- June - August 2015** **Turkey. IFAD International Fund for Agriculture Development. Position: Enterprise and Value Chain Specialist.**
Country Program Evaluation of IFAD funded operations in Turkey, Enterprise and Value Chains Specialist.
- October – Dec 2014** **Bangladesh. United Nations (IFAD) Country Program Evaluation. Position: Team Leader/ Senior Evaluator.**
Enterprise development, access to markets and value chains development specialist evaluating ten projects over the last ten years.
- Mar 2014 - Oct 2014** **Pacific Region. Asian Development Bank (ADB) Review of Pacific Islands Development Coordination Approach. Position: Team Leader.**
Team leader for regional assessment of ADB performance across nine countries in the Pacific region through development coordination offices.
- Feb 2014 - July 2014** **Papua New Guinea. World Bank- Port Moresby. Position: Team Leader** for mid-term review of the Urban Youth Employment Project (UYEP). Innovative project to address youth unemployment and disadvantage in Port Moresby through training and employment.
- Dec 2012- Mar 2014** **Pacific region. Asian Development Bank. Thematic Evaluation of Technical Assistance (TA) Investments. Position: Team Leader.**
Assessment of ADB TA performance in capacity development and technical assistance response to 2008 Technical Assistance Policy Amendments. Field review of TA in Tonga, Fiji, China, Sri Lanka, Bhutan and the Philippines.

- Jun – Oct 2013** **Zambia. International Fund for Agriculture Development (IFAD). Position: Senior Evaluator/Specialist.**
National Country Programme Evaluation of IFAD funded operations in Zambia. Technical focus on sustainability interventions.
- Jun – Dec 2013** **Bhutan. International Fund for Agriculture Development (IFAD). Position: Team Leader/Evaluation Specialist.**
National programme evaluation of IFAD funded operations in Bhutan.
- Nov 2011 - Mar 2012** **Special Study of Food Security in Asia. Position: Team Leader. Asian Development Bank (ADB).** Review of the global food price crises and their impact on the poor in Asia. Assessment of ADB current strategic alignment with food security initiatives.
- Aug - Dec 2011** **Philippines. Northern Mindanao Community Initiatives and Resource Management. Position: Team Leader. International Fund for Agriculture Development (IFAD).** IFAD supported project in community-based watershed development and with a focus on Indigenous People's initiatives for land management, eco-tourism and education.
- Mar – June 2011** **Global Synthesis of Supervision. Position: Team Leader. International Fund for Agriculture Development (IFAD).** Evaluation of programme and project supervision methodologies and practice since launch of the IFAD Supervision Policy in 2005.
- 1994 – Apr 2011** **Philippines. Supervision of the World Bank Philippines Agrarian Reform Communities Development Projects (ARCDP and ARCDP II and additional financing) Position: Technical Assistance and Training Specialist.**
On-going six-monthly missions from 1994 to present. Involved technical review and guidance on community development, agriculture and enterprise development, rural credit, capacity development, monitoring and evaluation, and project management.
- Jan - Apr 2011** **Ghana. Country Programme Evaluation. Position: Senior Evaluator/Team Leader.**
Evaluation of rural enterprise and local development training and technical support initiatives for seven projects nationwide, plus additional grants and policy initiatives in preparation for new Ghana Country Programme for **International Fund for Agriculture Development (IFAD).**
- Feb 2010** **China. Interim Evaluation. Position: Team Leader.**
Interim evaluation of IFAD and People's Republic of China Qinling Mountain Area Poverty-Alleviation Project.
- Jan - Nov 2009** **Corporate Evaluation: Innovation. Position: Team Leader.**
Evaluation of the **International Fund for Agriculture Development (IFAD).** Policy and Guidelines for Innovation and its capacity to promote replicable innovations. Included assessment of IFAD Corporate strategies, 21 Country Programme Strategies and 70 project designs across 46 countries. Field visit to innovations in Vietnam.
- 2008** **China. Project Evaluation. Position: Team Leader.**
Evaluation of 7 year International Fund for Agriculture Development (IFAD) project in southern China covering water management, rural credit, provincial infrastructure, institutional and governance support.

- 2006 – 2007** **Philippines. World Bank. Position: Team leader for Project Implementation Completion Evaluation. Position: Team Leader**
Research study of the **World Bank** Community Based Resource Management covering institutional development, infrastructure and livelihood initiatives.
- April – July 2007** **Belize. Position: Team Leader for evaluation of Community Agriculture and Rural Development Project of the Caribbean Development Bank (CDB) and International Fund for Agriculture Development (IFAD),**
Including public facilities, access infrastructure, social, sustainable agriculture and microfinance development, particularly targeting marginalised Mayan ethnic groups.
- 2006 - 2007** **Pakistan, China, Zambia, Philippines** (also involving comparative desk reviews for Egypt, India, Sri Lanka and Bangladesh). **Position: Corporate evaluation team member for a Field Presence Pilot Project of IFAD:** based on a three year pilot programme, examining the feasibility of establishing national offices as a global policy and strategy for sustainability.
- 2003 - 2007** **Philippines. Position: Technical Assistance Specialist** for the two **Japanese Grant Programs for landless and Indigenous Peoples**, supervised by the **World Bank**. The projects piloted new approaches for sustainable livelihoods, eco-tourism, sustainable agriculture and social inclusion in poverty reduction processes.
- 2006** **Philippines Cordilleras Autonomous Region. Position: Team leader for IFAD/ ADB) Highland Agriculture and Resource Management (CHARM) interim assessment** which progressed watershed management with sustainable agriculture sector development for ethnic minorities.
- 2005 - 2006** **South East Asia. Position: Team Leader/Evaluator. Cross Country evaluation** of the poverty status and livelihood investments in strategic regional and rural development for **International Fund for Agriculture Development (IFAD)**. The research covered 8 countries, 15 major investment projects and 8 regional research projects.
- 2005** **Philippines. Agrarian Reform and Social Development Specialist with Asian Development Bank and Southeast Asian Regional Centre for Graduate Study and Research in Agriculture** for the design of the Agrarian Reform Communities Project II (ARCP II) to reduce poverty among 15 poorest provinces. Responsible for institutional arrangement, safeguards processes for resettlement, inclusion and gender aspects.
- 2003 - 2006** **Philippines. Staff Consultant with World Bank with Autonomous Region of Muslim Mindanao Social Fund Social Infrastructure and Community Development Fund for World Bank Re-Construction and Rehabilitation in post-conflict Mindanao.** Troubleshooting on institutional arrangements, capacity development M&E and Project Management.
- 2005** **Indonesia. Australian Aid Indonesia-Australia. Position: Change Management Specialist.** One of team of three technical specialists to design a training module for the Indonesia-Australia Agreement to incorporate good governance, change management and gender awareness into all training programs.

- 2004 - 2005** **Zambia, Sudan And Armenia.** Corporate review of **International Fund for Agriculture Development (IFAD)** project supervision modalities with focus on Africa and Mid & Eastern Europe. The Review covered 30 projects in 20 countries to assess how supervision modalities contribute to effectiveness, efficiency and relevance of the projects. Included evaluation field work in Zambia, Sudan and Armenia.
- 2003 – 2004** **Indonesia. Position: Institutional/Decentralisation Specialist for International Fund for Agriculture Development (IFAD).** IFAD Country Strategy Programme Evaluation including design and facilitation of project and participant self-assessment processes as a partnership input to the evaluation.
- 2002 - 2003** **Nepal. International Fund for Agriculture Development (IFAD). Position: Micro-enterprise development consultant** for Preparation Mission for North and North Western Nepal. Integrated project with strong emphasis on social justice and strategies for community inclusion. Also designed to alleviate the rise of insurgency in the area.
- July – Aug 2002** **Philippines. Micro-enterprise specialist** for IFAD/ADB-funded Philippines Micro-enterprise Finance Project evaluation mission. This evaluation acted as a pilot (one of ten globally) for IFAD's new participatory evaluation process.
- 1997 - 1998** **Sri Lanka. Sri Lanka Government/World Bank/German Government.** Study on under-employment of marginal farmers in the non-plantation agricultural sector. Acting as international advisor for study design, implementation and analysis.
- 1997** **Philippines. Philippines Government/ International Fund for Agricultural Development, Western & Northern Mindanao Economic Development Projects.** Two three-year projects. Training specialist in inception and formulation missions working with rebel returnee groups and resettlement of communities affected by the conflict in Western Mindanao, and indigenous communities in Northern Mindanao.
- 1996** **Indonesia. Indonesian Government/International Fund for Agricultural Development, Indonesia.** Small enterprise, micro-credit and employment development program. Acted as small enterprise development consultant for national expansion program.
- 1996** **Philippines. Philippines Government/Asian Development Bank/ International Fund for Agricultural Development, Microfinance Project, Philippines.** A joint project focusing on Grameen Bank Replication through the Peoples Finance and Credit Corporation. Acted as small enterprise and microcredit specialist in identification and appraisal design missions.
- 1996** **Sri Lanka. Sri Lanka Government/CARE International/United Nations, Sri Lanka.** Micro-enterprise program for refugees. Acted as Team Leader and Technical Consultant for several projects in program design, evaluation and policy input in resettlement and re-establishment of local communities in conflict areas throughout both the Sinhalese and Tamil precincts.
- 1992 - 1995** **USAID, CARE International, UNHCR and IFAD.** Short term work on

various participatory community development, governance, small-medium business, rehabilitation, agricultural development and micro-credit programs in the Asia Region. Also small-scale research and evaluations for these agencies, linked to emergency response, private sector and socio-economic development.

REFEREES

Ziad Moussa

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Peel Board Member; Chair Peel Community Development Group
Chair, WestAus Crisis Care
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